

470 Rec'd PCT/PTO

PCT #5  
02 JUN 2000

PATENT BOX

Attorney Docket No. 82231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

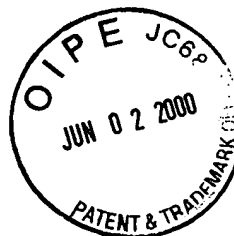
In re Application of

Jiankang WU, et al.

Serial No. 09/486,940

Filed: March 3, 2000

For: **LEGITIMACY PROTECTION OF ELECTRONIC DOCUMENT AND A PRINTED COPY THEREOF**



TRANSMITTAL LETTER

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

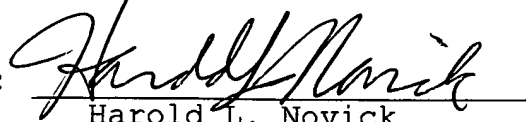
- (1) Transmittal Letter;
- (2) Information Disclosure Statement Transmittal Letter;
- (3) Information Disclosure Statement;
- (4) Form PTO-1449 with 13 references.

Please charge any required fee, or credit any overpayment, in connection with this matter to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES

By:

  
Harold L. Novick  
Registration No. 26,011

Date: June 2, 2000

NATH & ASSOCIATES

1030 Fifteenth Street N.W., Sixth Floor

Washington, D.C. 20005

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HLN/sd

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Jiankang WU, et al.

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## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner of Patents  
Washington, D.C. 20231

S I R :

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☒ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- ☐ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- ☐ i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
- ☐ ii. a check in the amount of \$240.00 required by 37 C.F.R. §1.17(p).
- ☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

- ☐ PETITION FEE: A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed.
- ☐ CERTIFICATION is attended to in box (e) below.

- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- ☐ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]
- ☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
- or
- ☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- or
- ☐ Appropriate certification is attached.
- ☐ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112. A duplicate of this sheet is enclosed.

Respectfully submitted,

**NATH & ASSOCIATES**

Date: June 2, 2000

BY: 

Harold L. Novick

Registration No. 26,011

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HLN/sd (10/1997)

PATENT BOX

Attorney Docket: 82231

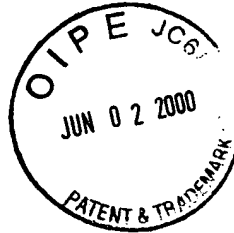
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jiankang WU, et al.

Serial No. 09/486,940

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PRINTED COPY THEREOF

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner  
for Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56 and 1.97-1.99, the documents listed on the attached Form PTO-1449 are being brought to the attention of the Examiner in charge of the above-identified application. No fee is required for this submission because it is submitted before the first Office Action on the merits.

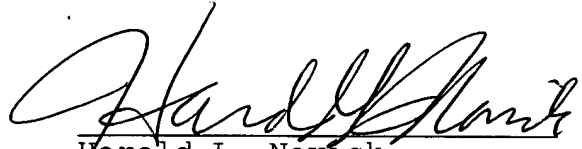
Copies of the documents are attached herewith with a completed Form PTO-1449. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449

with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,

NATH & ASSOCIATES

  
Harold L. Novick  
Reg. No. 26,011

Date: June 2, 2000  
NATH & ASSOCIATES  
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(HLN/sd IDS)

## FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

JUN 02 2000

Atty Docket  
82231Serial No.  
09/486,940Applicant  
Jiankang WU, et al.Filing Date  
March 3, 2000

Group Art Unit

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
GL	AA	4,807,287	Tucker, et al.	380	23	April 6, 1987
GL	AB	4,853,961	Pastor	380	21	Dec. 18, 1987
GL	AC	4,981,370	Dziewit, et al.	380	25	Jan., 29, 1990
GL	AD	5,031,214	Dziewit, et al.	380	23	Nov. 16, 1990
GL	AE	5,018,767	Wicker	283	67	Jan. 18, 1989
GL	AF	5,157,726	Merkle, et al.	380	23	Dec. 19, 1991
GL	AG	5,163,091	Graziano, et al.	380	25	Nov. 16, 1990
GL	AH	5,191,613	Graziano, et al.	380	25	Nov. 15, 1991
GL	AI	5,338,158	Hauge	417	64	Oct. 30, 1990
GL	AJ	5,374,976	Spannenburg	355	201	Aug. 12, 1993
GL	AK	5,710,886	Christensen, et al.	395	214	June 16, 1995
GL	AL	5,742,685	Berson, et al.	380	25	Oct. 11, 1995
GL	AM	5,823,576	Lambert	283	93	May 6, 1994

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation
					Yes No

<b>OTHER</b> (Including Author, Title, Date, Pertinent Pages, etc.)		
Examiner		Date Considered
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.		

